



PATENT  
450104-4028.2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Tetsujiro KONDO  
Continuation of  
Serial No. : 09/776,025  
Filed : Together Herewith  
For : APPARATUS FOR AND METHOD OF  
PROCESSING IMAGE AND APPARATUS FOR  
AND METHOD OF ENCODING IMAGE



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Date of Deposit: January 21, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Saddan Ahmed  
(Typed or printed name of person mailing paper or fee)

S. Ahmed  
(Signature of person mailing paper or fee)

**STATEMENT UNDER 37 C.F.R. §3.73(b)**

**Mail Stop Patent Application  
Commissioner for Patents,  
P.O. Box 1450, Alexandria, VA 22313-1450  
Sir:**


The undersigned attorney of record, empowered to sign this Statement on behalf of the assignee, states that SONY CORPORATION, a Japanese corporation, is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor thereof. The assignment was recorded in the U.S. Patent and Trademark Office on May 26, 1998 at Reel 9266, Frame 0432 in application serial No.

09/043,359 now U.S. Patent No. 6,266,454 from which application serial No. 09/776,025 is a continuation thereof from which the present application is a continuation thereof.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicant

By:   
Dennis M. Smid  
Registration No. 34,930

16367 U.S. PTO  
012104

Patent Application Transmittal

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Correspondence Address:  
FROMMER LAWRENCE & HAUG LLP  
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Date: January 21, 2004

Attorney Docket No.: 450104-4028.2

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

With reference to the filing in the United States Patent and Trademark Office  
of an application for patent in the name(s) of:

Tetsujiro KONDO

entitled:

APPARATUS FOR AND METHOD OF PROCESSING IMAGE AND APPARATUS FOR AND METHOD OF  
ENCODING IMAGE

X Continuing Application

X Continuation      Divisional      Continuation-in-Part (CIP)  
of prior application serial no. 09/776,025, filed February 2, 2001,  
which is a continuation of application Serial No. 09/043,359 filed May  
26, 1998 now U.S. Patent No. 6,266,454 which is a 371 of PCT/JP97/02481,  
filed 17 July 1997.

[Note: If priority under 35 U.S.C. 120 involves a series of respectively copending  
applications, then in this amendment identify each and its relationship to its immediate  
predecessor.]

X The prior application is assigned of record to SONY CORPORATION.

The following are enclosed:

X Specification ( 87 pages)

X 30 Sheet(s) of Drawings

X 2 Claim(s) (including 2 independent claim(s))

     This application contains a multiple dependent claim

X Our check for \$ 770.00, calculated on the basis of the claims  
existing in the prior application (less any claims canceled herein) as  
amended by any enclosed preliminary amendment as follows:

Basic Fee, \$770.00 (\$385.00)	\$ 770.00
Number of Claims in excess of 20 at \$18.00 (\$9.00) each:	-0-
Number of Independent Claims in excess of 3 at \$86.00 (\$43.00) each:	-0-
Multiple Dependent Claim Fee at \$290.00 (\$145.00)	-0-
Total Filing Fee	\$ 770.00

     Assignment Recording Fee \$40.00 -0-

     This application is being filed within the month following the  
expiration of the term originally set therefor in the prior application.  
This is a petition to request a -month extension of time. A check

The PTO did not receive the following  
listed item(s) 3 pages of Drawing

Patent Application Transmittal

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

450104-4028.2

covering the cost of the petition is enclosed. A copy of this paper is being submitted in parent application Serial No. as the Petition for Extension of time.

- ☒ Oath or Declaration and Power of Attorney  
New ☐ signed ☐ unsigned  
☒ Copy from a prior application (37 C.F.R. 1.63(d))

Deletion of Inventors

☐ Signed Statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))

Power of Attorney or Correspondence Address Change

☐ Power of attorney and/or correspondence address was changed during prosecution of the prior application. The new power of attorney is to William S. Frommer, Reg. No. 25,506 and Dennis M. Smid, Reg. No. 34,930. The new correspondence address is:

FROMMER LAWRENCE & HAUG LLP  
745 FIFTH AVENUE, 10TH FLOOR  
NEW YORK, NEW YORK 10151


- ☒ Incorporation by Reference (for continuation or divisional application)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☒ An Information Disclosure Statement is enclosed.
- ☒ A Preliminary Amendment is enclosed.  
(Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
- ☐ Cancel in this application original claims ☐ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ New formal drawings are enclosed.
- ☒ Certified copy of each foreign priority application on which the claim for priority under 35 U.S.C. 119 is based was filed in prior U.S. application serial no. 09/043,359, filed May 26, 1998. A list of said foreign priority application(s) is provided below. Acknowledgement thereof is requested.

<u>Application No.</u>	<u>Filed</u>	<u>In</u>
8-206625	17 July 1996	Japan

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicant

By:   
Dennis M. Smid  
Reg. No. 34,930

"Express Mail" mailing label number EV287821665US

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Saddan Ahmed

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S. Ahmed

(Signature of person mailing paper or fee)